

3 (17 CFR 240.16a-3) for initial statements of beneficial ownership of securities. The FDIC is authorized to solicit the information required by this form pursuant to sections 16(a) and 23(a) of the Securities Exchange Act of 1934 (15 U.S.C. 78p and 78w) and the rules and regulations thereunder. (SEC rules referenced in this form appear at 17 CFR 240.16a-1 through 240.16e-1.)

(b) Disclosure of information specified on this form is mandatory, except for disclosure of IRS (Tax Identification) or Social Security Numbers of the reporting person, which is voluntary. If such numbers are furnished, they will assist the FDIC in distinguishing reporting persons with similar names and will facilitate the prompt processing of the form. The information will be used for the primary purpose of disclosing the holdings of directors, officers and beneficial owners of registered companies. Information disclosed will be a matter of public record and available for inspection by members of the public. The FDIC can use the information in investigations or litigation involving the Federal securities laws or other civil, criminal, or regulatory statutes or provisions, as well as for referral to other governmental authorities and self-regulatory organizations. Failure to disclose required information may result in civil or criminal action against persons involved for violations of the Federal securities laws and rules.

(c) Copies of this form and the instructions thereto can be obtained from the Registration and Disclosure Section, Division of Supervision, Federal Deposit Insurance Corporation, 550 17th Street NW., Washington, DC 20429.

[57 FR 4703, Feb. 7, 1992]

§ 335.421 Statement of changes in beneficial ownership of securities (Form F-8).

(a) This form shall be filed pursuant to SEC rule 16a-3 (17 CFR 240.16a-3) for statements of changes in beneficial ownership of securities. The FDIC is authorized to solicit the information required by this form pursuant to sections 16(a) and 23(a) of the Securities Exchange Act of 1934 (15 U.S.C. 78p and 78w) and the rules and regulations thereunder. (SEC rules referenced in

this form appear at 17 CFR 240.16a-1 through 16e-1).

(b) Disclosure of information specified on this form is mandatory, except for disclosure of IRS (Tax Identification) or Social Security numbers of the reporting person, which is voluntary. If such numbers are furnished, they will assist the FDIC in distinguishing reporting persons with similar names and will facilitate the prompt processing of the form. The information will be used for the primary purpose of disclosing the transactions and holdings of directors, officers and beneficial owners of registered companies. Information disclosed will be a matter of public record and available for inspection by members of the public. The FDIC can use the information in investigations or litigation involving the federal securities laws or other civil, criminal, or regulatory statutes or provisions, as well as for referral to other governmental authorities and self-regulatory organizations. Failure to disclose required information may result in civil or criminal action against persons involved for violations of the federal securities laws and rules.

(c) Copies of this form and the instructions thereto can be obtained from the Registration and Disclosure Section, Division of Supervision, Federal Deposit Insurance Corporation, 550 17th Street NW, Washington DC 20429.

[57 FR 4703, Feb. 7, 1992]

§ 335.422 Annual statement of beneficial ownership of securities (Form F-8A).

(a) This form shall be filed pursuant to SEC rule 16a-3 (17 CFR 240.16a-3) for annual statements of beneficial ownership of securities. The FDIC is authorized to solicit the information required by this form pursuant to sections 16(a) and 23(a) of the Securities Exchange Act of 1934 (15 U.S.C. 78p and 78w), and the rules and regulations thereunder. (SEC rules referenced in this form appear at 17 CFR 16a-1 through 16e-1.)

(b) Disclosure of information specified on this form is mandatory, except for disclosure of IRS (Tax Identification) or Social Security numbers of the reporting person, which is voluntary. If such numbers are furnished, they will